

SRE0001-US

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

KRAEHENBUEHL, ET AL.

Serial No.: 09/967,045

Filed: October 1, 2001

For: ON-LINE REINSURANCE
CAPACITY AUCTION SYSTEM
AND METHOD

Art Unit: 2166

Examiner: Not Yet Assigned

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
Washington, D.C. 20231

Sir:

Applicants wish to make of record in the above-identified application the document or documents referenced on the attached Form PTO-1449. A copy of each reference is enclosed herewith.

The undersigned believes that this Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits for the above-referenced application. Accordingly, Applicants do not believe that a fee is due for filing this paper. However, should a first action on the merits have been issued on the same day or before this Information Disclosure Statement is filed, please accept this Information Disclosure Statement under Rule 97(c) and charge the requisite Rule 17(p) fee to our Deposit Account No. 50-1390, under Order No. SRE0001-US and proceed to consider this Information Disclosure Statement.

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Serial No.: 09/967,045
Art Unit: 2166

Attorney's Docket No.: SRE0001-US
Page 2

It is respectfully requested that the information be expressly considered during the prosecution of this application, and that each reference be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

This submission does not represent that any referenced document is material or constitutes "prior art." If it should be determined that one or more of the referenced documents constitute "prior art" under United States law, Applicants reserve the right to present to the Office the relevant facts and law regarding the appropriate status of the reference or references.

Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over any referenced document, should it be applied against the claims of the present application.

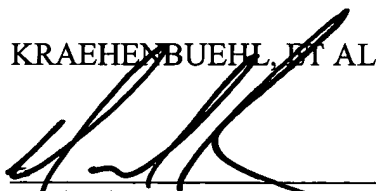
SHAW PITTMAN LLP
1650 Tysons Boulevard
McLean, VA 22102
Tel: (703) 770-7606

Date: March 6, 2003

Respectfully submitted,

KRAEHENBUEHL, ET AL.

By:


Michael D. Bednarek
Registration No. 32,329

MDB/SPA/ge

Document #: 1225244 v.1

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IDENTIFICATION OF COPENDING APPLICATION(S)

Commissioner for Patents
Washington, D.C. 20231

Sir:

Applicants wish to identify the following copending application or applications which may contain technical subject matter related to that of the present invention:

<u>Serial No.</u>	<u>Filed</u>	<u>Attorney Docket No.</u>	<u>Patent No.</u>
60/328,441	10/12/01	SRE0002-PROV	
10/267,942	10/10/02	SRE0002-US	

This identification is not to be construed as a waiver of confidentiality as to the cited application now or upon issuance of the present application as a patent. The Examiner is respectfully requested to consider the cited copending application or applications and the art cited therein during examination of the present application.

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Art Unit: 2166

Attorney's Docket No.: SRE0001-US
Page 2

**DO NOT PRINT THE ABOVE CITATION(S) IN ANY PATENT THAT
ISSUES FROM THE ABOVE-CAPTIONED APPLICATION OR ANY
OTHER RELATED APPLICATION.**

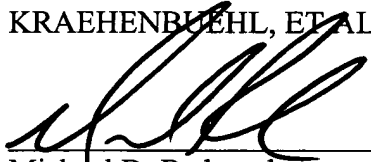
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1650 Tysons Boulevard
McLean, VA 22102
Tel: (703) 770-7606

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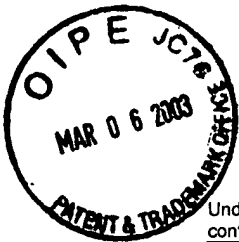
By:



Michael D. Bednarek
Registration No. 32,329

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Approved for use through 10/31/2002. OMB 0651-0031

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Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Complete if Known	
		Application Number	09/967,045
Sheet 1 of 2		Filing Date	October 1, 2001
		First Named Inventor	KRAEHENBUEHL, ET AL.
		Art Unit	2166
		Examiner Name	Not Yet Assigned
		Attorney Docket Number	SRE0001-US

U.S. PATENT DOCUMENTS					
Examiner Initials [*]	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number- Kind Code ² (if known)			
		US-5,732,397	03-2-1998	DETORE, ET AL.	
		US-6,078,890	06-20-2000	MANGIN, ET AL.	
		US-2002/0143583	10-03-2002	READER, ET AL.	
		US-2002/0082875	06-27-2002	BEST-DEVEREUX, IGOR	
		US-2002/0082874	06-27-2002	GO, ROSANNA L.	
		US-2003/0018497	01-23-2003	LUEDTKE, MITCHELL D.	
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FOREIGN PATENT DOCUMENTS						
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		Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)				
		EP 1 115 075	11-07-2001	KLAUS, ROBERT		<input type="checkbox"/>
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¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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		Application Number	09/967,045		
		Filing Date	October 1, 2001		
		First Named Inventor	KRAEHENBUEHL, ET AL.		
		Group Art Unit	2166		
		Examiner Name	Not Yet Assigned		
Sheet	2	of	2	Attorney Docket Number	SRE0001-US

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS			
Examiner Initials	Cite No.¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
		"Overview of Riskclick," http://www.riskclick.com	<input type="checkbox"/>
		"Reinsurance for the Third Millennium," http://marketing.r13k.com	<input type="checkbox"/>
		"Inreon," http://www.inreon.com	<input type="checkbox"/>
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